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Keywords:	Bamboo, Genome editing, CRISPR/Cas9

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Title: Robust CRISPR/Cas9 mediated genome editing and its application in manipulating plant height in the first generation of hexaploid Ma bamboo (*Dendrocalamus latiflorus* Munro)

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Dear Editor,

Bamboo is a special grass to human due to its great economic and ecological values. Around 2.5 billion people are directly producing and consuming bamboo, and its international trade reached 68.8 billion US dollars in 2018 (Data from International Bamboo and Rattan Organization). One major bamboo species in Asia is Ma bamboo (*Dendrocalamus latiflorus* Munro), which is a hexaploid species with three subgenomes (2n=72, AABBCC) (Guo et al., 2019). Despite its agronomic importance, it is nearly impossible to modify bamboo traits by traditional breeding as it takes over 70 years to flower. Bamboo research largely lagged behind due to the lack of efficient genetic manipulation tools.

The CRISPR (Clustered Regularly Interspaced Short Palindromic Repeat)/Cas9 provides straight-forward ways for genome editing in many plants (Yin et al., 2017), but has never been applied in bamboo. Here, we reported the generation of bamboo mutants with CRISPR/Cas9 technology by targeting one specific copy or all homoeologous genes.

Since our recently established genetic transformation protocol is time-consuming (~1.5 years) (Ye et al., 2017), we optimized the CRISPR/Cas9 system in bamboo protoplast. We first improved the protoplast preparation methods, and could isolate 3.0×10^6 protoplasts/g fresh leaves. Next, we improved the PEG-mediated transformation method, and reached efficiencies of 53.3% for a single plasmid and 29.8% for two co-transformed plasmids (**Figure 1A**), which is sufficient for optimizing the CRISPR/Cas9 system. The maize *UBI* promoter was used to drive Cas9 expression (Ye et al., 2017). Three polymerase III-dependent promoters from rice (*OsU6a/OsU6b/OsU6c*) were selected to express the sgRNA cassettes (Ma et al., 2015), as bamboo exhibits high genomic similarity with rice (Peng et al., 2013). To check the effectiveness of CRISPR/Cas9 constructs, a frame-shift mutated *GFP* (*mGFP*) containing an additional “guanine” thereby produces no fluorescence signal

was simultaneously co-transformed with CRISPR/Cas9 plasmids (**Figure 1B**). Around 1.8% of the protoplasts transformed with the *UBI-Cas9/OsU6b-sgRNA* construct showed strong signals within 72 h, indicating that the *mGFP* function was restored by the CRISPR/Cas9 system through deleting the additional “guanine” (**Figure 1C**). The *OsU6a* and *OsU6c* promoters work as well, however, with lower efficiency than the *OsU6b* promoter, as positive signals were only occasionally observed with more than 10 replicates. Taking together, the *UBI-Cas9/OsU6b-sgRNA* construct effectively works in bamboo protoplast, and were used for the following endogenous gene editing in Ma bamboo.

The putative phytoene synthase (*PSY1*) in bamboo, whose homolog in maize functions in carotenoid biosynthesis (Zhu et al., 2016), was selected for the initial test. Three bamboo *PSY1* alleles (*DlmPSY1-A*, *DlmPSY1-B*, *DlmPSY1-C*) were identified and cloned by a homology cloning strategy (**Figure 1D**). To mutate all copies of *DlmPSY1*, sgRNA1 targeting a conserved site among all *DlmPSY1* loci was designed (**Figure 1D**). In addition, the sgRNA2 target site containing 2–3 single-nucleotide polymorphisms (SNPs) in the spacer region among three *DlmPSY1* homoeoalleles and was selected to test the tolerance of sgRNA mismatches (**Figure 1D**).

1,600 bamboo calluses induced from stem were transformed as described previously (Ye et al., 2017). In total, 34 independent transgenic lines were confirmed positive (2.1%) by PCR. Based on Sanger sequencing results, 22 (100%) and 10 (83.3%) independent T0 lines were edited in the sgRNA1 and sgRNA2 region, respectively (**Figure 1E**), indicating that both constructs effectively induce endogenous gene editing.

The editing profiles were further analyzed by sequencing. Eighteen lines (81.8%) contained putative homozygote/biallelic mutations in all subgenomes at the sgRNA1 target site. In some lines, putative homozygote/biallelic mutations exist in one

subgenome while heterozygote or chimeric mutations appear in other subgenomes (T0-10 and T0-26) (**Figure 1F**). Eight mutation types were identified from 590 independent clones (**Figure 1G**). The most frequent mutation type was deletion (75%), of which 59.1% are small deletions (<2bp). The ratios of large fragment deletions (≥ 14 bp), insertions, and combined indels were 15.9%, 2.21%, and 7.82%, respectively (**Figure 1G**). Since bamboo propagates through asexual budding, those homozygote/biallelic mutations will remain in the genome of their offspring clones during breeding.

sgRNA2 that perfectly targets *DlmPSYI-A1*, but not *DlmPSYI-B1* or *DlmPSYI-C1* was designed to study the recognition specificity (**Figure 1D**). Sequencing results confirmed that 10 transgenic lines contain mutations in *DlmPSYI-A1*, but none in *DlmPSYI-B1* and *DlmPSYI-C1* (**Figure 1E**). Two lines (20%) were putative homozygous or biallelic mutations (T0-12 and T0-14), while 7 lines (70%) were heterozygous/chimeric (T0-30 to T0-32 as representative examples, **Figure 1H**). The ratios of deletions, insertions, and combined mutations were 86%, 9%, and 5%, respectively (**Figure 1I**). The mutations were predominantly short nucleotide changes (1–26bp), and 22.7% were 1bp nucleotide deletions (**Figure 1I**). Those data demonstrated the successful application of the CRISPR/Cas9 system in mutating a specific *DlmPSYI* allele.

Eighteen lines (81.8%) with homozygote/biallelic mutations in all subgenomes at the sgRNA1 site exhibited albino phenotypes (**Figure 1J**), which appeared at an early stage during tissue culture, and persisted at the plantlets stage (**Figure 1J**). Those results suggest that genome editing takes place at an early stage in embryonic cells, and led to the loss-of-function of all *DlmPSYI* alleles. Similar results were reported in rice, wheat or cotton (Wang et al., 2018; Wang et al., 2014; Zhang et al., 2014). In case of sgRNA2, although *DlmPSYI-A* was mutated, no visible phenotypic change was observed due to the existence of the wild-type *DlmPSYI-B* and *DlmPSYI-C*

alleles.

Next, we applied this technology in bamboo molecular research. Bamboo is the tallest grass in the world, while the underlying mechanism is unknown. Previously, we identified several Gibberellin-responsive genes including *GRG1* (GA-responsive gene 1, *PH01004823G0070*) that potentially acts in controlling bamboo height (Zhang et al., 2018). Here two homozygote *grg1* mutants (efficiency 40%) in Ma bamboo were produced using our optimized CRISPR/Cas9 technology. Mutation in *GRG1* increased plant height (**Figure 1K**), mostly due to elongated internodes (**Figure 1L-N**). Sequencing results confirmed that the *grg1* mutant has the putative homozygous mutation in A1-subgenome, biallelic mutation in B1-subgenome, and homozygous mutation in C1-subgenome (**Figure 1O**), indicating the loss-of-function of *GRG1* in transgenic bamboo. To our knowledge, this is the first example on controlling bamboo height through gene manipulation, which will contribute to subsequent studies on the molecular mechanisms behind the fast growth of bamboo.

In summary, for the first time we engineered the hexaploid Ma bamboo through CRISPR/Cas9 technology. The homozygote mutations were obtained in the first generation of transgenic lines, which is extremely important for bamboo species due to its long vegetative growth periods. We also confirmed the albino phenotype of *dlmpsyl* mutant in bamboo and generated a bamboo mutant with altered plant height. This demonstrates the applicability of CRISPR/Cas9 in bamboo and thereby boosts future bamboo research and breeding.

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Conflict of interests

The authors declare that they have no conflict of interests.

Fundings

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funding bodies were not involved in the design of the study or in any aspect of the data collection, analysis and interpretation of data and in paper writing.

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Authors' contributions

Q.Z. conceived this project; L.F.G., Y.S.Z., X.Q.M. C.T.L. and Q.Z. designed experiments, and interpreted the results; S.W.Y., G.C. and M.V.K. performed the experiments and analyzed the data, W.J.W., C.Y.C., C.W., and D.W.S. helped to perform the experiments and collect the data. All authors read and approved the submission of this manuscript.

Figure Legend

Figure 1. Genome editing in Ma bamboo using CRISPR/Cas9 technology

A. Bamboo protoplast isolation and transformation. **a.** Microscopic image of isolated bamboo protoplast transformed with *35S:tdTomato*. **b-d.** Images of bamboo protoplasts co-expressing the fluorescence proteins *tdTomato* (**b**) and GFP (**c**) driven by the 35S promoter, and their overlay (**d**).

B. CRISPR/Cas9 plasmids for bamboo protoplast. **Top:** CRISPR/Cas9 constructs expressing the sgRNA directed against *mGFP* and driven by *OsU6a/OsU6b/OsU6c* respectively; **Middle:** *mGFP*-expression construct, *mGFP* contains one additional guanine (lower-green case) downstream of the translational start site (red); **bottom:** GFP-expression construct. The sgRNA was designed to produce the presumptive cleavage site at the third nucleotide upstream of the PAM sequence (blue).

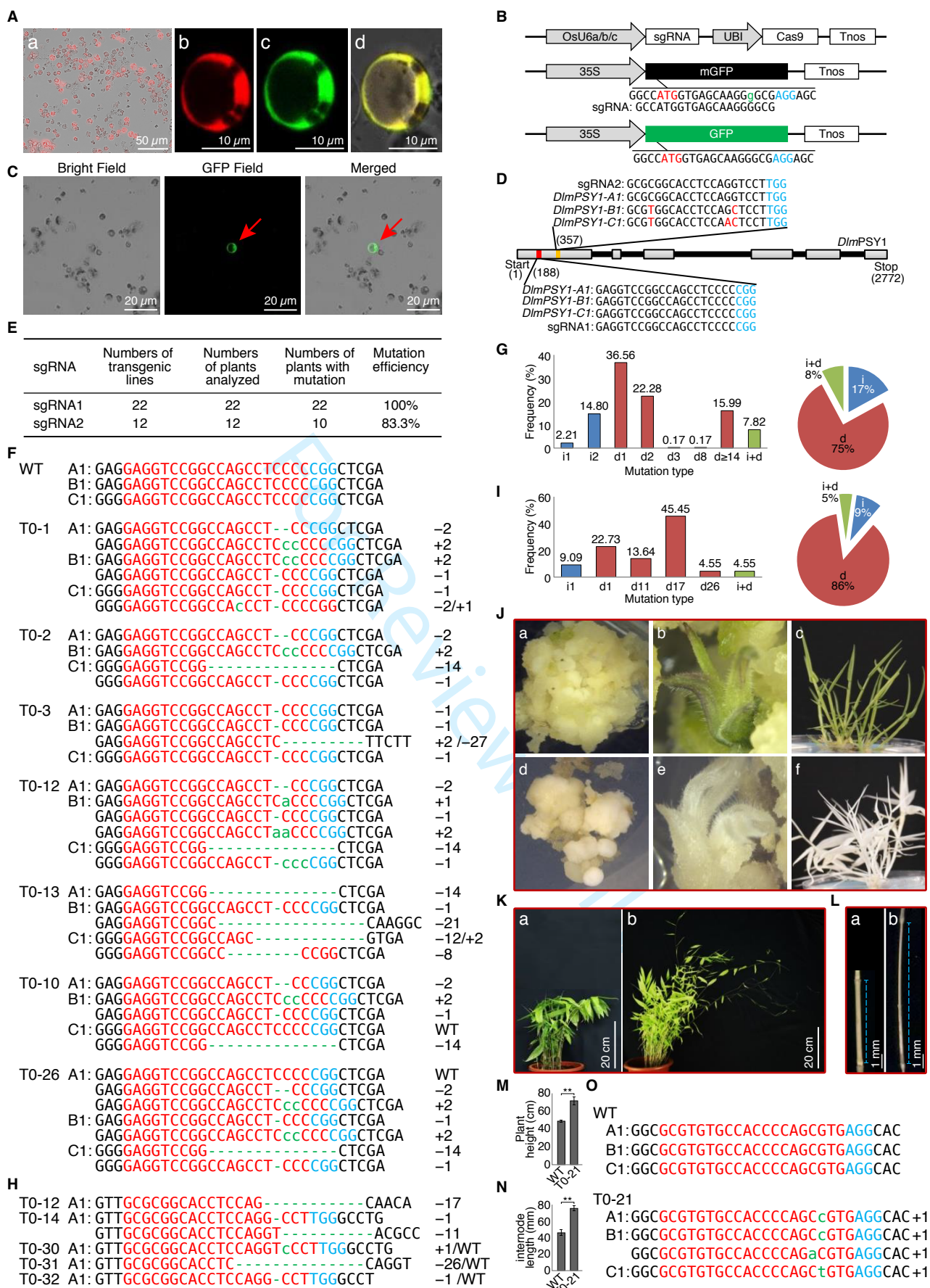
C. Representative bamboo protoplasts co-transfected with *mGFP* and *OsU6b-sgRNA/UBI-Cas9* reproducibly emitting fluorescence signals (red

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- arrows).
- D.** *DlmPSYI* gene structure and sequences of the target sites. Gray boxes: exons; black lines: introns; number in brackets: positions of start codon, stop codon and sgRNA target sites (red and orange rectangles). The PAM regions (blue), SNPs (red), and nucleotide sequences of the *sgRNAs* and *DlmPSYI* genes were given.
- E.** Frequencies of the CRISPR/Cas9-induced mutations in two target sites of the *DlmPSYI*.
- F.** Representative *DlmPSYI* mutations at the sgRNA1 site. T0-1, T0-2, T0-3, T0-12 and T0-13 represent loss-of function mutants. T0-10 and T0-26 lines contain heterozygote mutations in the C1-subgenome and chimeric mutations in the A1-subgenome, respectively. Red: sgRNA target regions; blue: PAM regions; green lowercase letters: nucleotide indels; dotted lines: omitted nucleotides.
- G.** Frequencies of indels (left) and mutation types (right) at the sgRNA1 site of *DlmPSYI*. i# and d#: # of bp inserted or deleted, respectively; $d \geq 14$: more than 14 bp deletion; i+d: target sites with both deletions and insertions.
- H.** Representative *DlmPSYI* mutants at the sgRNA2 site. The represents homozygote (T0-12), biallic (T0-14), and heterozygote (T0-30 to T0-32) at A1-subgenome were shown.
- I.** Frequencies of indels (left) and mutation types (right) at the sgRNA2 site of *DlmPSYI*. (legend: see G)
- J.** Phenotypes of representative *dlmpsyl* mutants. **a-c**, wild-type; **d-f**, *dlmpsyl* mutant (T0-1).
- K-N.** Phenotypes of wild-type and the represented *grgI* mutant. Growth phenotype (**K**) and internode elongation (**L**) of 5-month old wild-type (**a**) and *grgI* (**b**) plants grown in the greenhouse. Plant heights (**M**) and internode lengths (**N**) were quantified. **: $p < 0.01$.
- O.** Mutations of the *GRGI* gene were confirmed by Sanger sequencing. The

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4 1 sgRNA target regions (red), PAM regions (blue), nucleotide insertions (green) and
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For Review Only



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target site. In some lines, putative homozygote/biallelic mutations exist in one subgenome while ~~heterozyote~~heterozygote or chimeric mutations appear in other subgenomes (T0-10 and T0-26) (**Figure 1F**). Eight mutation types were identified from 590 independent clones (**Figure 1G**). The most frequently ~~appeared~~ mutation type ~~is~~was deletion (75%), of which 59.1% are small deletions (<2bp). The ratios of large fragment deletions (≥ 14 bp), insertions, and combined indels were 15.9%, 2.21%, and 7.82%, respectively (**Figure 1G**). Since bamboo propagates through asexual budding, those homozygote/biallelic mutations will remain in the genome of their offspring clones during breeding.

sgRNA2 that perfectly targets *DlmPSYI-A1*, but not *DlmPSYI-B1* or *DlmPSYI-C1* was designed to study the recognition specificity (**Figure 1D**). Sequencing results confirmed that 10 transgenic lines contain mutations in *DlmPSYI-A1*, but none in *DlmPSYI-B1* and *DlmPSYI-C1* (**Figure 1E**). Two lines (20%) were putative homozygous or biallelic mutations (T0-12 and T0-14), while 7 lines (70%) were heterozygous/chimeric (T0-30 to T0-32 as representative examples, **Figure 1H**). The ratios of deletions, insertions, and combined mutations were 86%, 9%, and 5%, respectively (**Figure 1I**). The mutations were predominantly short nucleotide changes (1–26bp), and 22.7% were 1bp nucleotide deletions (**Figure 1I**). Those data demonstrated the successful application of the CRISPR/Cas9 system in mutating a specific *DlmPSYI* allele.

Eighteen lines (81.8%) with homozygote/biallelic mutations in all subgenomes at the sgRNA1 site exhibited albino phenotypes (**Figure 1J**), which appeared at an early stage during tissue culture, and persisted at the plantlets stage (**Figure 1J**). Those results suggest that genome editing takes place at an early stage in embryonic cells, and led to the loss-of-function of all *DlmPSYI* alleles. Similar results were reported in rice, wheat or cotton (Wang et al., 2018; Wang et al., 2014; Zhang et al., 2014). In case of sgRNA2, although *DlmPSYI-A* was mutated, no visible phenotypic change

was observed due to the existence of the wild-type *DlmPSYI-B* and *DlmPSYI-C* alleles.

Next, we applied this technology in bamboo molecular research. Bamboo is the ~~highest-tallest~~ grass in the world, while the underlying mechanism is unknown. Previously, we identified several Gibberellin-responsive genes including *GRG1* (GA-responsive gene 1, *PH01004823G0070*) that potentially acts in controlling bamboo height (Zhang et al., 2018). Here ~~atwo~~ homozygote *grg1* mutants (~~efficiency 40%~~) in Ma bamboo ~~waswere~~ produced using our optimized CRISPR/Cas9 technology. Mutation in *GRG1* increased plant height (**Figure 1K**), mostly due to elongated internodes (**Figure 1L-N**). Sequencing results confirmed that the *grg1* mutant has the putative homozygous mutation in A1-subgenome, biallelic mutation in B1-subgenome, and homozygous mutation in C1-subgenome (**Figure 1O**), indicating the loss-of-function of *GRG1* in transgenic bamboo. To our knowledge, this is the first example on controlling bamboo height through gene manipulation, which will contribute to subsequent studies on the molecular mechanisms behind the fast growth of bamboo.

In summary, for the first time we engineered the hexaploid Ma bamboo through CRISPR/Cas9 technology. The homozygote mutations were obtained in the first generation of transgenic lines, which is extremely important for bamboo species due to its long vegetative growth periods. We also confirmed the albino phenotype of *dmpsyl* mutant in bamboo and generated a bamboo mutant with altered plant height. This demonstrates the applicability of CRISPR/Cas9 in bamboo and thereby boosts future bamboo research and breeding.

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Conflict of interests

The authors declare that they have no conflict of interests.

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Authors' contributions

Q.Z. conceived this project; L.F.G., Y.S.Z., X.Q.M. C.T.L. and Q.Z. designed experiments, and interpreted the results; S.W.Y., G.C. and M.V.K. performed the experiments and analyzed the data, W.J.W., C.Y.C., C.W., and D.W.S. helped to perform the experiments and collect the data. All authors read and approved the submission of this manuscript.

Figure Legend

Figure 1. Genome editing in Ma bamboo using CRISPR/Cas9 technology

A. Bamboo protoplast isolation and transformation. **a.** Microscopic image of isolated bamboo protoplast transformed with 35S:tdTomato. **b-d.** Images of bamboo protoplasts co-expressing the fluorescence proteins *tdTomato* (**b**) and GFP (**c**) driven by the 35S promoter, and their overlay (**d**).

B. CRISPR/Cas9 plasmids for bamboo protoplast. **Top:** CRISPR/Cas9 constructs expressing the sgRNA directed against *mGFP* and driven by *OsU6a/OsU6b/OsU6c* respectively; **Middle:** *mGFP*-expression construct, *mGFP* contains one additional guanine (lower-green case) downstream of the translational start site (red); **bottom:** GFP-expression construct. The sgRNA was designed to produce the presumptive cleavage site at the third nucleotide upstream of the PAM sequence (blue).

C. Representative bamboo protoplasts co-transfected with *mGFP* and

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- 1 *OsU6b-sgRNA/UBI-Cas9* reproducibly emitting fluorescence signals (red
2 arrows).
- 3 **D.** *DlmPSYI* gene structure and sequences of the target sites. Gray boxes: exons;
4 black lines: introns; number in brackets: positions of start codon, stop codon
5 and sgRNA target sites (red and orange rectangles). The PAM regions (blue),
6 SNPs (red), and nucleotide sequences of the *sgRNAs* and *DlmPSYI* genes were
7 given.
- 8 **E.** Frequencies of the CRISPR/Cas9-induced mutations in two target sites of the
9 *DlmPSYI*.
- 10 **F.** Representative *DlmPSYI* mutations at the sgRNA1 site. T0-1, T0-2, T0-3,
11 T0-12 and T0-13 represent loss-of function mutants. T0-10 and T0-26 lines
12 contain heterozygote mutations in the C1-subgenome and chimeric mutations
13 in the A1-subgenome, respectively. Red: sgRNA target regions; blue: PAM
14 regions; green lowercase letters: nucleotide indels; dotted lines: omitted
15 nucleotides.
- 16 **G.** Frequencies of indels (left) and mutation types (right) at the sgRNA1 site of
17 *DlmPSYI*. i# and d#: # of bp inserted or deleted, respectively; $d \geq 14$: more
18 than 14 bp deletion; i+d: target sites with both deletions and insertions.
- 19 **H.** Representative *DlmPSYI* mutants at the sgRNA2 site. The represents
20 homozygote (T0-12), biallic (T0-14), and heterozygote (T0-30 to T0-32) at
21 A1-subgenome were shown.
- 22 **I.** Frequencies of indels (left) and mutation types (right) at the sgRNA2 site of
23 *DlmPSYI*. (legend: see G)
- 24 **J.** Phenotypes of representative *dlmpsyI* mutants. **a-c**, wild-type; **d-f**, *dlmpsyI*
25 mutant (T0-1).
- 26 **K-N.** Phenotypes of wild-type and the represented *grgI* mutant. Growth
27 phenotype (**K**) and internode elongation (**L**) of 5-month old wild-type (**a**) and
28 *grgI* (**b**) plants grown in the greenhouse. Plant heights (**M**) and internode lengths
29 (**N**) were quantified. **: $p < 0.01$.

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4 1 **O.** Mutations of the *GRG1* gene were confirmed by Sanger sequencing. The
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6 2 sgRNA target regions (red), PAM regions (blue), nucleotide insertions (green) and
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8 3 their length (right side) are shown.
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